



### Behavioral Health is Essential To Health



### Prevention Works

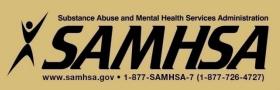




Treatment is Effective



People Recover











# Please Stand By <u>Training Webinar will begin shortly</u>

For audio, please call 1-800-369-1820 Conference Number PMXW7155346 Audience Pass code 2352660

If you are experiencing technical difficulties, please press \*0







#### **CMHS Suicide Prevention Branch**







SAMHSA Performance Accountability & Reporting System (SPARS)

New Grantee Training

## National Strategy for Suicide Prevention Zero Suicide

Thursday, April 5, 2018 2:00 to 3:00 pm EST

If you are experiencing technical difficulties, please press \*0





### **AGENDA**

- Overview of SPARS
- Key Program Guidances
- Operational Definitions of Required Indicators
- Annual Performance Goals and Budget Estimates
- Quarterly Data Entry Reporting Period & Deadlines



### Welcome!

### Webinar is being recorded

# Webinar recording and slides will be posted at

SPRC website at www.sprc.org



### **Key SPARS Resources**

Cheat Sheet: Required Indicators

 2-Page Step by Step Checklist: Setting & Entering Annual Goals & Budget Estimates

SPARS E-Bulletins (generated from your GPO)



## Overview of SPARS



### What is SPARS?

# SAMHSA Performance Accountability & Reporting System

- web-based, consolidated, centralized data platform
- Replaces "TRAC"
- Allows SAMHSA to measure programs and grantee performance
- grantees report data only on "GRANT FUNDED" activities



### SPARS has two program components

- 1. Annual Goals and Budget Information
- Submit goals/budget info just once; Due: May 5
- Modify future goals once a year, if needed
- 2. Quarterly Data
- Submit data every 3 months
- Second Quarter Data: Due May 5



## Required Indicators



#### IPP CATEGORIES AND INDICATORS

Indicators capture IPP activities and quantify a grant program's achievements

#### Infrastructure

- ✓ Policy Development
- ✓ Partnership/Collaborations
- Accountability
- ✓ Types/Targets of Practices

## Prevention and MH Promotion

- ✓ Screening
- Outreach
- Referral
- Access



### **NSSP-11 IPP Indicators**

PD1, PD2, PC1, A3, A4, T2, O1, O2, S1,R1, & AC1

#### **Zero Suicide- 6 IPP Indicators**

WD2, WD5, T1, S1, R1, & AC1



## SCREENING, REFERRAL, AND ACCESS NSSP AND ZERO SUICIDE

**S1: Screening** 

R1: Referral

**AC1: Access** 



S1: SCREENING
NSSP & ZERO SUICIDE

# The *number of individuals* screened for mental health or related interventions



#### **NSSP & ZERO SUICIDE**

# S1: Screening: Who are you counting?

#### Count

- Number of Individuals Screened for mental health or related intervention
- Screened <u>for initial</u> <u>identification</u> of those who may be in need of specific intervention

#### Do Not Count

- Number of interventions
- Ongoing monitoring to assess individual progress and status



### R1: REFERRAL

**NSSP & ZERO SUICIDE** 

# R1. The number of individuals referred to mental health or related services



### R1: Referral: Who are you counting?

**NSSP & ZERO SUICIDE** 

#### Count

 Number of Individuals referred for mental health or related services

#### **Do Not Count**

- Number of Services
- Ongoing monitoring to assess individual progress and status



# AC1: ACCESS NSSP & ZERO SUICIDE

# AC1. The number and percentage of individuals receiving mental health or related services after referral

- Numerator: Number referred and receiving services that quarter.
- Denominator: Total number referred that quarter.



# AC1: Access NSSP & ZERO SUICIDE

### Who are you counting?

#### Count

 Number of Individuals receiving mental health or related services <u>after</u> referral

#### **Do Not Count**

- Number of Services
- Ongoing monitoring to assess individual progress and status









### Setting and Entering Annual Performance Goals





### **Purpose of Annual Performance Goals**

- 1. Quantify what you plan to accomplish with your grant
- Tied to your workplan: Realistic & Attainable!
- 3. Used for performance and program management
- 4. Developed in collaboration with your GPO

It is a "Goals-Based" type evaluation used to assess & monitor the extent your project is meeting its goals



### What is an Annual Performance Goal?

It is a Numeric Value set for each Indicator

S1: Screening

R1: Referral

AC1: Access



# Enter a numeric Annual Goal for each IPP Indicator for each Grant year (NSSP and Zero Suicide)

- For S1, enter the total number of individuals that your grant plans to screen for each grant year
- For R1, enter the total number of individuals your grant plans to refer to mental health or related services for each grant year
- For AC1, enter the percentage of individuals expected to be receiving mental health services after referral for each grant year



#### Enter a Zero "0" for Indicator with no set goals

#### > Example: S1

If you do not have a goal of screening individuals for mental health services or related interventions for a given grant year,

Enter a goal of Zero "0" for S1 for that given grant year



#### **How to set your Annual Performance Goals**

- 1. Review the stated goals/objectives in your application program plan. What are you planning to do and achieve?
- 2. Know the definitions of the required IPP indicators:

**Zero Suicide (6)**: WD2, WD5, T1, S1, R1, and AC1

NSSP (11): PD1, PD2, PC1, A3, A4, T2, O1, O2, S1,R1, and AC1

- 3. Identify which IPP indicator applies to your stated goal for each grant year
- 4. Count the number of activities you plan for each grant year for each IPP Indicator to calculate your goals
- 5. Identify which IPP Indicator (s), if any, is NOT a Goal of your project

# Entering Budget Estimates into SPARS



# Purpose of Entering Budget Estimates into SPARS

- Estimate how much of your annual Federal budget you plan to spend on the four SPARS Budget Categories
- Estimates are entered for each budget grant year; not cumulative
- Budget is not directly linked to the IPP Indicators



# There are 6 Budget Categories on the SPARS Screen

#### <u>Create</u> <u>Budget Estimates for</u>

- 1. Infrastructure Development
- Data Collection/Evaluation
- 3. MH Promotion/Prevention
- 4. Grants Administration

# DO NOT Create Budget Estimates for

- 5. Services Provision
- 6. Technical Assistance

Enter a ZERO "0" for these categories all grant years



#### As you develop a budget estimate, consider the following:

- ✓ The type of activities you plan to spend for each category:
- 1) Infrastructure development
- 2) Data Collection/Evaluation (estimate cannot exceed 20% of annual grant award)
- 3) MH Promotion/Prevention
- 4) Grants Administration
- ✓ Include all direct & indirect costs associated with each budget category. It is okay to estimate direct and indirect costs.
- ✓ DO NOT include: in-kind contributions, match costs, or carry over dollars



# Getting Started: Resources You Need to Create Budget Estimates

- Review Proposed Approach & Work plan of your Application
- 2. Review your budget in application
- 3. Review your Notice of Award (NoA) for total Federal award amount for each grant year
- 4. Know the four SPARS Budget Categories



### Sample Budget (NSSP)

Budget Category	Year 1 Federal Award Amount	Year 2 Federal Award Amount	Year 3 Federal Award Amount	Year 4 Federal Award Amount	Year 5 Federal Award Amount
	400,000	420,000	375,000	400,000	390,000
1. Services Provision	0	0	0	0	0
2. Infrastructure Development	35%	40%	25%	25%	30%
3. Data Collection/Evaluation	15%	20%	20%	15%	15%
4. Prevention & Promotion	30%	20%	35%	35%	40%
5. Grant Administration	20%	20%	15%	15%	15%
6. Technical Assistance	0	0	0	0	0
TOTAL SUM	100%	100%	100%	100%	100%

# Annual Goals and Budget Data Entry in SPARS

https://spars.samhsa.gov/





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#### Welcome to SPARS!

#### SAMHSA's Performance Accountability and Reporting System

The Substance Abuse and Mental Health Services Administration (SAMHSA) is proud to launch the SPARS website. SPARS is a new online data entry, reporting, technical assistance request, and training system to support grantees in reporting timely and accurate data to SAMHSA.

Learn More 🕥



#### Announcements

No Help Desk Services on January 18, 2018 No Help Desk Services on January 18, 2018 Due to Inclement Weather



No Help Desk Services on January 17, 2018 No Help Desk Services on January 17, 2018 D Inclement Weather



New SPARS CSAP Features and Programs Released o January 8, 2018

On January 8, 2018, SPARS released new CSAP features to help grantees and POs enter and review data.

#### **Quick Links**

#### SPARS-CSAT

Enter data for Center for Substance Abuse Treatment grants

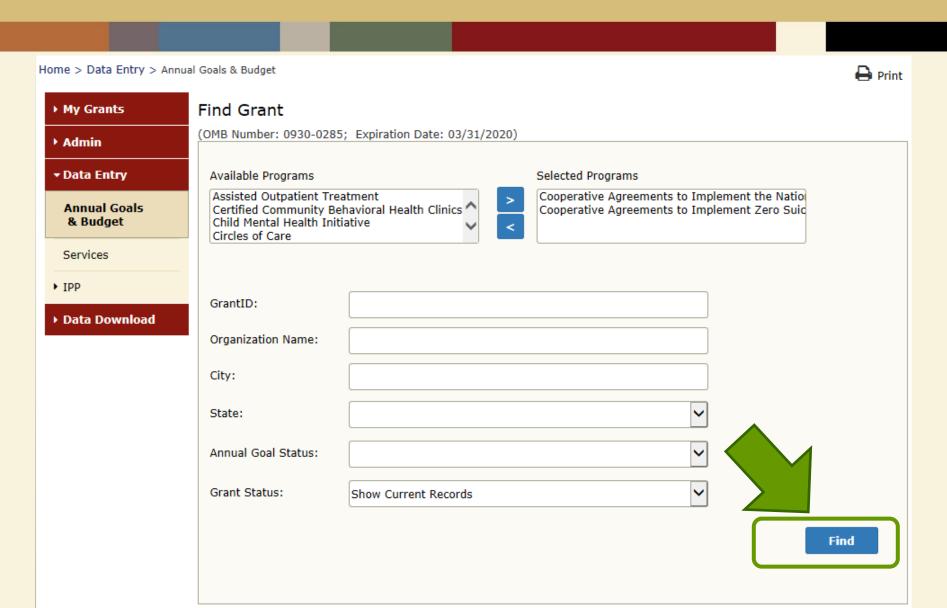
#### SPARS-CMHS

Enter data for Center for Mental Health Services grants.

#### SPARS-CSAP

Enter data for Center for Substance Abuse Prevention grants.





# Zero Suicide: Enter Annual Goals for each grant year, for each indicator

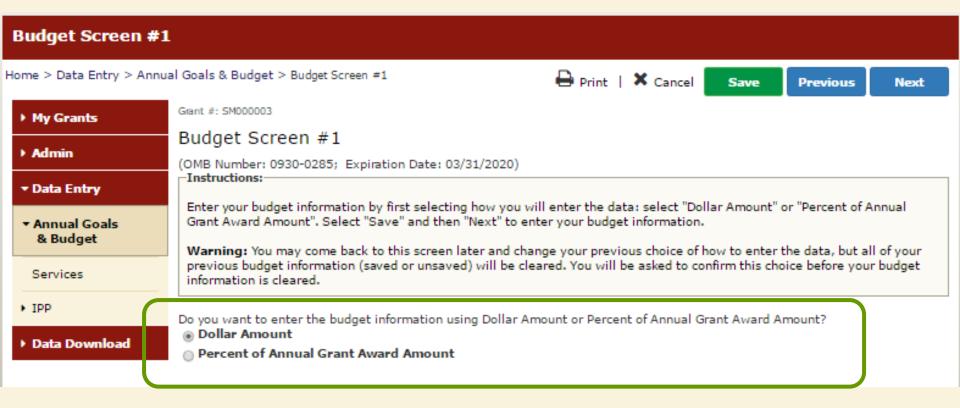
Previous Year	Curre	nt Year		Next Year			
	•	•	•	•	•		
Categories & Indicators	(9/30/2017 - 9/29/2018)	(9/30/2018 - 9/29/2019)	(9/30/2019 - 9/29/2020)	(9/30/2020 - 9/29/2021)	(9/30/2021 - 9/29/2022)		
Screening							
S1 - The <u>number of individuals</u> screened for mental health or related interventions.							
Referral							
R1 - The <u>number of individuals</u> referred to mental health or related services.							
Access							
AC1 - The <u>number and percentage of individuals</u> receiving mental health or related services after referral.	%	%	%	%	%		



# NSSP: Enter Annual Goals for each grant year, for each indicator

Previous Year	Current Year			Next Year		
		•	-	•		
Categories & Indicators		(9/30/2017 - 9/29/2018)	(9/30/2018 - 9/29/2019)	(9/30/2019 - 9/29/2020)		
Screening						
S1 - The <u>number of individuals</u> screened for mental health or related interventions.						
Outreach						
O1 - The <u>number of individuals</u> contacted through outreach efforts.	gh program					
O2 - The total <u>number of contacts</u> made through program outreach efforts.						
Referral						
R1 - The <u>number of individuals</u> referred to ment related services.	tal health or					
Access						
AC1 - The <u>number and percentage of individual</u> mental health or related services after referral.	ls receiving	%	%	%		

### Enter Budget Estimates using Dollar Amount or Percent of Annual Grant Award amount







# Zero Suicide: Enter Budget Estimates for *each* grant year

Category	(9/30/2017 - 9/29/2018)	(9/30/2018 - 9/29/2019)	(9/30/2019 - 9/29/2020)	(9/30/2020 - 9/29/2021)	(9/30/2021 - 9/29/2022)
Award Amount per Grant Year					
Services Provision					
Dollar Amount					
Infrastructure Development					
Dollar Amount					
Data Collection, Evaluation, Performance Measurement and Assessment					
Dollar Amount					
Mental Illness Prevention and Mental Health Promotion Activities					
Dollar Amount					
Grant Administration					
Dollar Amount					
Technical Assistance					
Dollar Amount					
Sum of Dollar Amounts per Grant Year	0	0	0	0	0
Percentage of Award Amount Accounted for:	0%	0%	0%	0%	0%

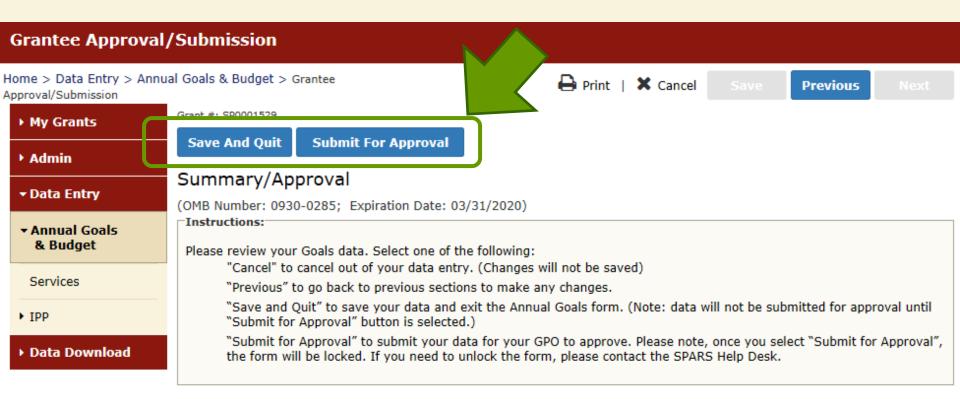


# NSSP: Enter Budget Estimates for each grant year

Category		(9/30/2017 - 9/29/2018)	(9/30/2018 - 9/29/2019)	(9/30/2019 - 9/29/2020)	
Award Amount per Grant Year					
Services Provision					
	Oollar Amount				
Infrastructure Development					
D	Oollar Amount				
Data Collection, Evaluation, Perform Measurement and Assessment	nance				
D	Oollar Amount				
Mental Illness Prevention and Mental Promotion Activities	al Health				
0	Oollar Amount				
Grant Administration					
0	Oollar Amount				
Technical Assistance					
	Oollar Amount				
Sum of Dollar Amounts per Grant Ye	ear	0	0	0	
Percentage of Award Amount Accou	unted for:	0%	0%	0%	



# Save and Quit or Submit for Approval





# SPARS Annual Performance Goals and Budget Estimates Due: May 5, 2018

- Log into SPARS and enter Annual Goals required Indicators for all grant years
- ☐ After you enter Annual Goals, enter Budget Estimates
- ☐ Enter budget estimates for all grant years
- Click on "Submit to GPO" for review/approval



## Questions?

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# Quarterly Data Entry Requirements

https://spars.samhsa.gov/



### SPARS Quarterly Data Entry Requirements

### **Quarterly Data**

- Submit data on a quarterly basis
- Second Quarter Data: Due May 5
- ☐ Submit "No New Results" (for no new data to report)



# SCREENING, REFERRAL, AND ACCESS NSSP AND ZERO SUICIDE

S1: Screening

R1: Referral

**AC1: Access** 



Quarter	Quarterly Reporting Period Federal Fiscal Year 2017-2018	Grantee Deadline to Enter Data	GPO Deadline To Review	Grantee Deadline to Revise Data	System-Lock Date:  No further data entry, GPO Reviews or Grantee Revisions Allowed
1st	Oct 1, 2017 to Dec 31, 2017	Jan. 31, 2018	Feb. 28, 2018	Mar 31, 2018	April 1, 2018
2 <sup>nd</sup>	Jan 1 to March 31, 2018	April 30, 2018	May 31, 2018	June 30, 2018	July 1, 2018
3 <sup>rd</sup>	April 1 to June 30, 2018	July 31, 2018	Aug. 31, 2018	Sept. 30, 2018	Oct. 1, 2018

Nov. 30, 2018

Dec. 31, 2018

Jan. 1, 2019

July 1 to Sept 30, 2018 Oct. 31, 2018

4<sup>th</sup>

# Every Quarter, Grantees are required to log into SPARS and do the following:

- Enter data only on completed activities in the quarter it was completed
- DO NOT enter data on activities that are "in progress" or "pending"
- Nothing new to report for an Indictor? Click on "No New Results" which is a valid data entry
- □ After you submit your data, your GPO will review, approve & disapprove or request revisions
- ☐ Make edits and revisions to your data, as requested 54/04/54



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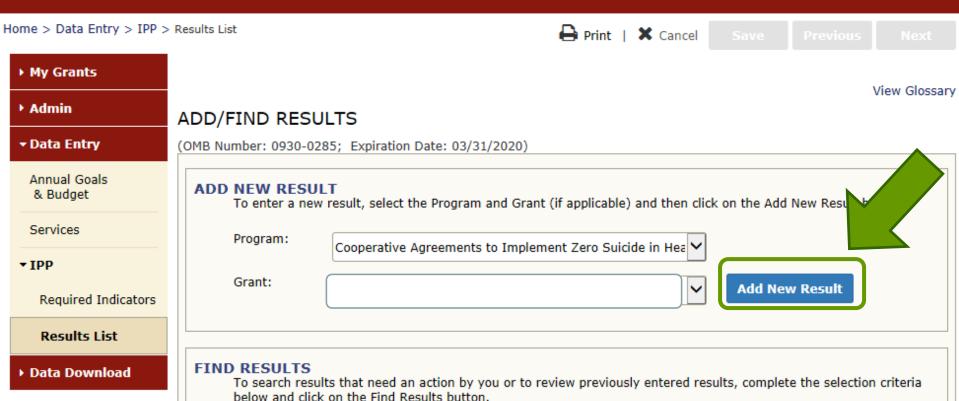
Enter data for Center for Mental Health Services grants.

#### SPARS-CSAP

Enter data for Center for Substance Abuse Prevention grants.











Previous

View Glossary

Save - Add New

Save - Finish

INSTRUCTIONS: Enter one result per indicator on this data entry screen. Please do not use the same result name twice in one federal fiscal year quarter. Note: Screen will refresh when you select the date range or indicator.							
Grant Number: SP0001024 (Not a training grantSP0001024)							
Date Range Result Was	Completed:	~					
Indicator:	<b>▽</b>						
1 FFY QUARTER 1 (10/1- 12/31)	); FFY QUARTER 2 (1/1- 3/31); FFY QUARTER 3 (4/1- 6/30); FFY QUARTER 4 (7/1- 9/30)						
If there were no new res	sults, check this box:						
Result Name:							
Result Description:(Do not exceed 550 characters.)							

# IPPs: Examples of S1, R1, and AC1 Results



# S1 Example

INSTRUCTIONS: Enter one result per indicator on this data entry screen. Please do not use the same result name twice in one federal fiscal year quarter. Note: Screen will refresh when you select the date range or indicator.

Grant Number: SP0002150 (Not a training grantSP0002150)

Date Range Result Was Completed: FFY 2018 Quarter 2 (Jan. 1 2018 – Mar. 31 2018) Indicator: Screening - S1 S1 - The number of individuals screened for mental health or related interventions. 1 FFY QUARTER 1 (10/1- 12/31); FFY QUARTER 2 (1/1- 3/31); FFY QUARTER 3 (4/1- 6/30); FFY QUARTER 4 (7/1- 9/30) If there were no new results, check this box: Result Name: Behavioral Health Screening Result Description:(Do As a result of the grant, we administered the Behavioral Health Screen to 116 not exceed 550 individuals at the participating primary care practices this quarter. characters.) Number: 116

## R1 Example

INSTRUCTIONS: Enter one result per indicator on this data entry screen. Please do not use the same result name twice in one federal fiscal year quarter<sup>1</sup>. Note: Screen will refresh when you select the date range or indicator.

Grant Number: SP0002150 (Not a training grantSP0002150)

Date Range Result Was Completed: FFY 2018 Quarter 2 (Jan. 1 2018 – Mar. 31 2018)

Indicator: Referral - R1 R1 - The number of individuals referred to mental health or related services. 1 FFY QUARTER 1 (10/1- 12/31); FFY QUARTER 2 (1/1- 3/31); FFY QUARTER 3 (4/1- 6/30); FFY QUARTER 4 (7/1- 9/30) If there were no new results, check this box: Result Name: Referred Individuals Result Description:(Do As a result of the grant, our Behavioral Health Screen identified individuals for not exceed 550 symptoms of suicide. As a result of the screening, 21 individuals were referred characters.) for mental health services this quarter.

Number: 21

# AC1 Example

**INSTRUCTIONS:** Enter one result per indicator on this data entry screen. Please do not use the same result name twice in one federal fiscal year quarter<sup>1</sup>. Note: Screen will refresh when you select the date range or indicator.

Grant Number: SP0002150 (Not a training grantSP0002150)

Date Range Result Was Completed: FFY 2018 Quarter 2 (Jan. 1 2018 – Mar. 31 2018)

Indicator: Access - AC1

AC1 - The <u>number and percentage of individuals</u> receiving mental health or related services after referral.

1 FFY QUARTER 1 (10/1- 12/31); FFY QUARTER 2 (1/1- 3/31); FFY QUARTER 3 (4/1- 6/30); FFY QUARTER 4 (7/1- 9/30)

If there were no new results, check this box:

Result Name: Program Referrals

Result Description:(Do not exceed 550 characters.) As a result of the grant, out of 21 individuals that were flagged by the Behavioral Health Screen and referred this quarter, 9 of them are receiving services.

Numerator:

Denominator:

21

Percentage:

# **Most Common Data Entry Error**

Indicate you have nothing to report by clicking on "No New Results" box



### No New Result **Example**

INSTRUCTIONS: Enter one result per indicator on this data entry screen. Please do not use the same result name twice in one federal fiscal year quarter. Note: Screen will refresh when you select the date range or indicator.

Grant Number: SP0002150 (Not a training grantSP0002150)

Date Range Result Was Completed: FFY 2018 Quarter 2 (Jan. 1 2018 - Mar. 31 2018)

Indicator: Access - AC1

AC1 - The number and percentage of individuals receiving mental health or related services after referral.

1 FFY QUARTER 1 (10/1- 12/31); FFY QUARTER 2 (1/1- 3/31); FFY QUARTER 3 (4/1- 6/30); FFY QUARTER 4 (7/1- 9/30) If there were no new results, check this box: Result Name: No New Result



Numerator: Denominator:

characters.)

Percentage:

# **IPP Performance Report**







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Learn More (5)



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Enter data for Center for Substance Abuse Treatment grants.

#### SPARS-CMHS

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#### SPARS-CSAP

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#### CMHS Reports

#### Report List

**Outcome Measures** 

Multi-Year Outcome Measures

Outcome Measures (PBHCl only)

Notification

Reassessment Interview Rate

Number of Consumers Served by Grant Year

Number of Consumers Served by Grant Year (CMHS only)

**Point In Time** 

Consumer Level Outcome Measures

Cross Tabulation/Frequency

**IPP Performance** 

CMHS Performance (TPR)

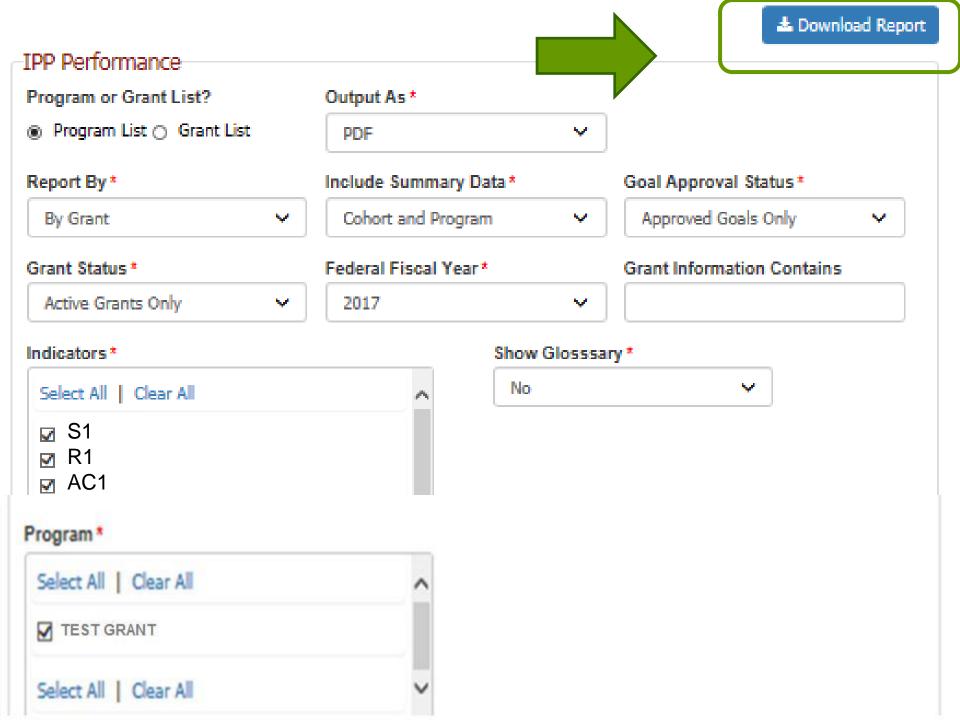
Welcome to the SPARS Center for Mental Health Services (CMHS) Reports

To run a report, select a report from the list on the left, enter the appropriate criteria, and download the report.

If you need assistance, please contact the SPARS Help Desk at 855-322-2746 (toll-free) or SPARS-support@rti.org.







# **IPP Performance Report**

Grant ID	Grant Information	Indicator	Grants Reporting by FFY Quarter		Sum of Results Reported for Selected Period	Goal Amount for Selected Period*	% of Goal Achieved for Selected Period		
			1	2	3	4		-	
SP0001 182	Name1116: my city: MD	WD2	X	X			110	174	
	09/30/2017-		X	X			2	12	16.7%
	09/29/2020	R1	X	X			0	100	0.0%
		AC1	X	X			81	89	91.0%



## Questions?

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## **SPARS Staff Resources**

### > SAMHSA Government Project Officer (GPO):

Provides programmatic technical assistance and consultation on classifying IPP indicators, setting annual goals and budget information, reviews and approves your data

### > SPARS Help Desk

Provides technical support with navigating screens, user account, username, password resets/information

- ✓ Phone: 1-855-796-5777
- ✓ Email: SPARS-support@rti.com



## Thank You!

# Webinar recording and slides will be posted at

SPRC website at <u>www.sprc.org</u>



#### **SPARS** is used for Performance Measurement

#### **SPARS**

#### **Performance Management**

- Monitors how well we're doing in reaching goals
- Board shallow snapshot
- Indicators to measure progress
- Early warning system to management & tool for <u>public</u> accountability
- Continual measurement and reporting of indicators

#### **ICF**

#### national outcomes Evaluation

- Tells us why things are going well or poorly
- Broader range of information, both quantitative and qualitative
- Overall assessment of whether program works and how to improve results
- Use of <u>social research</u> methods to systematically investigate the effectiveness of programs





## Behavioral Health is Essential To Health



## Prevention Works







People Recover